

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

February 16, 2005

#### DONALD J. LEWIS, JOHN D. RUSSELL and NATE TRASK

Serial No.

10/805,637

Group Art Unit: 3747

Filed

March 19, 2004

Examiner: Arnold Castro

For

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Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, 1.98

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97, and 1.98, the following patents, publications, applications, and/or other information are being submitted and disclosed to the Office, including those listed on the enclosed Form PTO-1449, for consideration by the Examiner.

Applicants respectfully request that the disclosed information be made of record and expressly considered by the Examiner during examination of the pending application. Further, Applicants respectfully request that the disclosed items be listed on the face of any patent issuing from the pending application in the "references cited" column.

In accordance with 37 C.F.R. § 1.97 (g), the present information disclosure statement is not a representation that a search has been made.

In accordance with 37 C.F.R. § 1.97 (h), the present information disclosure statement is not an admission that the information cited in the statement is, or is considered to be, material to patentability.

In accordance with MPEP § 609, Applicants respectfully request that if the present application is a continuing application, all information considered by the Office in the parent application be considered in the present application, although it need not be listed on the face of the patent unless resubmitted in this or another information disclosure statement filed in this application.

Finally, the present information disclosure statement is not an admission that the information cited in the statement is, or is considered to be, prior art.

#### BASIS FOR CONSIDERATION BY THE OFFICE UNDER 37 C.F.R. § 1.97

⊠ 37 C.F.R. § 1.97(d)
The present information disclosure statement is being filed, to the best of Applicants' knowledge and
belief, on or before payment of the issue fee.
Applicants certify that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
Applicants certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.  AND
A check in the amount of is enclosed.
Please charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account 06-1510. If there are insufficient funds, please charge the fee to Deposit Account 06-1505.
CONTENT OF INFORMATION DISCLOSURE STATEMENT 37 C.F.R. § 1.98
The present information disclosure statement includes any information noted above, along with a Form PTO-1449 (2 pages).
If applicable, a legible copy of the following is being included: (1) Each publication (identified by published, author (if any), title, relevant pages of the publication, date, and place of publication).

If there are any questions regarding this submission, please contact the undersigned.

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 16, 2005.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known							
Application Number	10/805,637						
Filing Date	March 19, 2004						
First Named Inventor	Donald J. Lewis						
Art Unit	3747						
Examiner Name	Arnold Castro						
Attorney Docket Number	91100503						

			U. S. PATEN	T DOCUMENTS				
Examiner Cite No.1		Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	<del>                                     </del>	US- 3,865,088	02-11-1975	Links				
	<u> </u>	<sup>US-</sup> 4,976,228	10-13-1989	Kawamura				
	<del> </del>	US- 5,190,013	01-10-1992	Dozier				
		<sup>US-</sup> 5,442,515	11-23-1994	Wallaert				
		<sup>US-</sup> 5,617,829	11-20-1995	Bidner et al				
	<u> </u>	<sup>US-</sup> 6,178,934	11-04-1999	Hirawawa et al				
		<sup>US-</sup> 6,216,652	03-27-2000	Gramann et al				
		<sup>US-</sup> 6,333,843	12-15-2000	Boie et al	***************************************			
		<sup>US-</sup> 6,363,907	10-11-2000	Arai et al				
		<sup>US-</sup> 6,367,437	03-02-2001	Nakamura et al				
		<sup>US-</sup> 6,382,193	11-20-2000	Boyer et al				
		<sup>US-</sup> 6,401,684	12-15-2000	Hori et al	7			
		<sup>US-</sup> 6,050,231	4-18-2000	Tisch et al				
		<sup>US-</sup> 6,505,113	10-22-2000	Eichensener et al				
		<sup>US-</sup> 6,526,745	11-24-2000	Ogiso				
		<sup>US-</sup> 6,546,910	03-19-2001	Tanaka et al				
		<sup>US-</sup> 6,647,947	03-12-2002	Boyer et al				
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		FORE	IGN PATENT DOCU	MENTS	·	
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Т
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFO	DRMATION	DIS	CLOSURE	Filing Date	March 19, 2004				
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	(Use as many she	ate ae m		Art Unit	3747				
	(Ose as many sne	ets as 11	ecessary)	Examiner Name	Arnold Castro				
Sheet	2	of	2	Attorney Docket Number	81100593	$\overline{\mathcal{I}}$			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ţ²
		KLUTING, FLIERL, GRUDNO and LUTTERMANN; MTZ Magazine, August 1999, "Drosselfreie Laststeuerung miy vollvariablen Ventiltrieben"	<b>✓</b>

Examiner				Date				
Signature				 Consid	ered			
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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